

Expedited Settlement Offer Worksheet Violations Form For Wastewater

Version 1 (updated April 2019)

Consult instructions regarding eligibility criteria and procedures prior to use.



LEGAL NAME AND MAILING ADDRESS OF RESPONSIBLE ENTITY		NPDES Permit Number	
1	Michael Bollweg Public Works Director 133 Broadway Street Rogue River, Oregon 97537	OR0023043	
		Permit Effective Date:	10/01/2002
		Permit Expiration Date:	08/31/2007
LOCATION AND ADDRESS OF FACILITY		EPA Contact Name:	Katrina Chambon
2	5680 Foothill Road Rogue River, Oregon 97537	EPA Contact Title:	Case Officer
		EPA Office:	Anchorage Operations Office
FACILITY DESCRIPTION / CONTACT NAMES			
	Name of Facility Contact (ESO Worksheet recipient):	Michael Bollweg	
	Name of Authorized Official (40 CFR 122.22):	Michael Bollweg	
	Are any findings a result of an inspection?	No	
	Inspection Date(s) (if applicable):		
3	Name of Receiving Water Body (Indicate whether 303(d) listed):	Rogue River (303(d) listed)	
PRIVATE ENTITY ADJUSTMENT FACTOR			
4	Is the entity privately owned?	If yes, adjustment factor of 2.0 is applied.	1.0
FLOW ADJUSTMENT FACTOR			
5	Select the appropriate average volume of flow on a day of discharge in millions of gallons per day (MGD). If a facility discharges only on a periodic basis, do <u>not</u> include days with zero flow when calculating the average flow:		
A	<0.050 mgd (no adjustment is applied)	No adjustment factor is applied.	
B	≥0.050 mgd and <0.250 mgd	Adjustment factor of 1.5 is applied.	
C	≥0.250 mgd and <1 mgd	Adjustment factor of 3.0 is applied.	3.0
D	≥1 mgd and <5 mgd	Adjustment factor of 6.0 is applied.	
E	≥5 mgd and <10 mgd	Adjustment factor of 10.0 is applied.	
F	≥10 mgd and <50 mgd	Adjustment factor of 15.0 is applied.	
G	≥50 mgd	Adjustment factor of 20.0 is applied.	
REPEAT VIOLATOR ADJUSTMENT FACTOR			
6	A How many other state and federal formal enforcement actions has the responsible entity been subject to in the last three years? Include enforcement actions at this facility and any other facilities.	For each enforcement action, adjustment factor is increased 50%.	1.0
			TOTAL ADJUSTMENT FACTOR 3.00

Notes: * RCA = Requires Corrective Action

Violation(s) / Corrective Action(s)		CWA / Permit Citation	R C A*	No. of Viol- actions	Dollar Amount w/ Adjust. Factor	Total
MONITORING / REPORTING						
ESA eligible if violations occurred within the 24 months immediately prior to the ESA offer.						
7	Failure to submit compliance schedule report:					
A	Late but less than 30 days late				\$150 =	
B	Submitted more than 30 days late				\$225 =	
C	Not submitted				\$450 =	
8	Failure to submit timely discharge monitoring report (DMR) and/or DMR submitted with failure to conduct self-monitoring:					
A	DMR late but less than 30 days late				\$150 =	
B	DMR submitted more than 30 days late				\$225 =	
C	DMR not submitted or DMR submitted with a failure to sample pollutants - conventional pollutants (count each conventional pollutant not reported or not sampled as a violation; BOD, TSS, pH, oil and grease, e. coli, fecal coliform)				\$225 =	
D	DMR not submitted or DMR submitted with a failure to sample pollutants - toxic pollutants (count each toxic pollutant not reported or not sampled as a violation)				\$225 =	
9	Failure to conduct self-monitoring in accordance with permit requirements, including but no limited to required sample type, sample location, representative sampling, meeting 40 CFR 136 or other permit requirements (count each pollutant with one or more failures)				\$75 =	
10	Failure to submit any other required report or notice (e.g., biosolids report, pretreatment report, industrial user notification, planned changes, anticipated noncompliance, anticipated bypass, etc.):					
A	Late but less than 30 days late				\$150 =	
B	Submitted more than 30 days late				\$225 =	
C	Not submitted				\$450 =	
11	24-Hour Noncompliance Notice					
A	Failure to provide notice of noncompliance				\$225 =	
B	Noncompliance notice late				\$150 =	
12	5-Day Written Noncompliance Follow-up Report:					
A	Failure to provide report				\$225 =	
B	Report provided late and/or incomplete				\$150 =	
13	Noncompliance Not Required Within 24 Hours:					
A	Failure to provide report with DMR				\$75 =	
B	Report provided late and/or incomplete				\$30 =	
Subtotal Monitoring / Reporting Violations						\$0

OPERATIONS AND MAINTENANCE		ESA eligible if violations occurred within the 24 months immediately prior to the ESA offer.					
14	Failure to conduct and document self-inspections of facility (count each month with one or more missed and/or undocumented inspection)					\$120 =	
15	Failure to document all required information in self-inspections or conduct a complete inspection (count each month with one or more partially documented/completed inspection unless the month is accounted for in #15)					\$60 =	
16	Failure to identify and document corrective actions					\$60 =	
17	Failure to meet operation and maintenance requirement of the permit					\$300 =	
18	Failure to manage removed substances in accordance with the permit					\$750 =	
Subtotal Operations and Maintenance Violations							\$0
EFFLUENT LIMITATIONS		ESA eligible if violations occurred within the 12 months immediately prior to the ESA offer.					
19	Failure to meet effluent limitations:	July 2023 exceedance of minimum pH of 6.0, result is 5.8. November 2023, one exceedance of E.coli 126 organisms per 100 milliliter (#/100mL) monthly geometric mean. The result is 331/100mL. One exceedance of the daily maximum of 406/100mL the result is 2,420/100mL.	Schedule A. 1.a(3)	1	\$150 =	\$150	
A	Months with effluent exceedance less than 40% above the limit - conventional pollutants (count each conventional pollutant separately as a violation; BOD, TSS, pH, oil and grease, e. coli, fecal coliform)						
B	Months with effluent exceedance 40% or more above the limit - conventional pollutants (count each conventional pollutant separately as a violation; BOD, TSS, pH, oil and grease, e. coli, fecal coliform)						
C	Months with effluent exceedance less than 20% above the limit - toxic pollutants (count each toxic pollutant separately as a violation)						
E	Months with effluent exceedance 20% or more above the limit - toxic pollutants (count each toxic pollutant separately as a violation)						
Subtotal Effluent Limitations Violations							\$600
RECORDS		ESA eligible if violations occurred within the 24 months immediately prior to the ESA offer.					
20	Failure to create/maintain sampling and/or analysis records (count each month with one or more failure)					\$120 =	
21	Failure to maintain other records required by the permit (count each month with one or more failure excluding records not maintained in #22)					\$75 =	
Subtotal Records Violations							\$0
INDUSTRIAL WASTE		ESA eligible if violations occurred within the 60 months immediately prior to the ESA offer.					
22	Failure to meet industrial waste management/pretreatment requirement for POTWs without approved pretreatment programs (excluding failure to provide notice counted in #11)					\$300 =	
ECONOMIC BENEFIT ESTIMATE		ESA eligible if estimated economic benefit of noncompliance is less than total ESA offer.					
23	Enter total estimate economic benefit calculated rounded up to the nearest \$50		ESA eligible				
Total Expedited Settlement							\$600